



Government Finance Officers Association

GROUP  
INTERNET-BASED  
COURSE

# Disclosure Changes: Be Prepared for new SEC Requirements

January 9, 2019 | 2:00 pm to 4:00 pm (ET)

NEW!

LEVEL: Basic

CPE CREDITS: 2 (based on a 50-minute hour)

FIELD OF STUDY: Management Services

PREREQUISITE: None

## Instructors:

**Emily Brock**, Director, Federal Liaison Center, GFOA

**Peg Henry**, Deputy General Counsel, Stifel Financial Corp.

**Alexandra M. MacLennan**, Partner, Squire Patton Boggs LLP

## Who Will Benefit:

All GFOA members and members of the public interested in gaining knowledge of the of industry best practices in regard to the new amendments governing the disclosure requirements associated with municipal securities.

## Program Description:

The new Amendments to SEC Rule 15c2-12, effective February 27, 2019, are an effort to provide additional financial information to investors where an issuer may have material financial obligations that could impact bond holders. Governments will have to state in continuing disclosure agreements entered on or after the effective date that they will disclose to the market any new and material financial obligations and notify the market when an outstanding or new financial obligation reflects material financial difficulties. Throughout this course, instructors will discuss GFOA and industry best practices to ensure issuers are prepared for the new requirements.

## Seminar Objectives:

Those who successfully complete this seminar should be able to:

- interpret the new amendments
- understand the industry professionals' function and recommendations for implementation
- explore improved policies and procedures to ensure effective implementation

To learn more or register for this event,  
visit [www.gfoa.org](http://www.gfoa.org).

For further study check out course recommended reading and other GFOA publications on the website.

## It's Easy to Participate

- GFOA's internet training is delivered to attendees via a secure website and audio conferencing service.

## Equipment Needed

- A computer with a web browser to view the presentation. If you have speakers for your computer, you will be able to listen to the training using them.
- If you do not have speakers for your computer, you will need a phone to listen to the presentation. (Speaker phone recommended, but not required.)

## How It Works

- Participants will receive an e-mail invitation containing a link with instructions at the beginning of the week the course will be taking place from the e-mail address [messenger@webex.com](mailto:messenger@webex.com). (Please add this e-mail address to your "allowed senders list.")
- Materials can be viewed on a secure internet site.
- Questions can be asked at any time during the course, and interactive exercises will test your mastery of the material.
- To join the event more quickly, you can set up Event Manager before the event starts. Go to: <https://gfoa.webex.com/gfoa/ecsetup.php?frommail=1>.

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- Member: \$25     Non-Member: \$95

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